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IN THE CIRCUIT COURT OF THE STATE OF OREGON  
FOR THE COUNTY OF MULTNOMAH

RECEIVED  
CIRCUIT COURT

DENNIS EMRICK and LEANN EMRICK,  
Husband and Wife,

Plaintiffs,

V.

A.J. ZINDA COMPANY, an Oregon  
Corporation, et. al.,

Defendants.

Case No. 0002-02019

**MEMORANDUM OF U.S.  
MINERAL IN OPPOSITION TO  
PLAINTIFFS MOTION FOR  
NEW TRIAL**

**MEMORANDUM OF POINTS AND AUTHORITIES**

**A. INTRODUCTION**

Plaintiff's motion for new trial based on alleged juror misconduct fails to present a colorably sufficient basis for granting a new trial under Oregon law. The sole "factual" support for the motion is an unsigned and conclusory hearsay declaration of alternate juror Elden Eichler which lacks any evidentiary value.<sup>1</sup> Moreover, even if the affidavit were admissible, the misconduct alleged does not satisfy the "threshold level" of juror misconduct required under Oregon law to warrant a new trial. Absent an evidentiary

<sup>1</sup> As is more fully set forth in the Objection of United States Mineral Products Company To Affidavit of Elden Eichler, Mr. Eichler's affidavit fails to set forth the manner in which or when the facts, if any, which support the conclusory averments in the affidavit came to his attention. The affidavit itself does not set forth a single date, time, witness or improper statement allegedly made by any juror. Hence, it is impossible to determine the underlying facts upon which Mr. Eichler bases his conclusions and the extent to which, if at all, Mr. Eichler has personal knowledge regarding any of the hearsay matters described in his affidavit. Consequently, the affidavit is fatally flawed and inadmissible in the first instance.

1 threshold showing by the party seeking a new trial, an Oregon trial court is without  
2 discretion to order a new trial based upon claimed juror misconduct. See, for example,  
3 State v. Miller, 167 Or.App. 72, 75-76, 1 P.3d 1047 (2000).

4 Plaintiff's flawed argument may fairly be summarized as follows:

5 Unauthorized discussions among the jurors regarding evidence  
6 admitted during trial, prior to receiving the jury charge and access to  
7 all the evidence introduced at trial, in violation of court admonitions  
8 not to discuss the case, constitutes extrinsic juror misconduct which  
9 is punishable by contempt and requires a new trial. Plaintiff claims  
10 that this juror misconduct prejudiced his right to an impartial  
11 deliberation by 12 jurors "based on the applicable law and all the  
12 evidence." (Plaintiff's motion at pages 2 - 4)

13 Plaintiff does not cite a single Oregon case in which a new trial was granted due to  
14 "premature juror deliberations." (Plaintiff's motion at page 3, line 16) Plaintiff's reliance on  
15 the inapposite foreign authorities cited in his motion is totally misplaced<sup>2</sup>

16 Plaintiff's argument is wholly without merit and his motion must be denied for each  
17 of the following reasons:

18 (1) The affidavit of Mr. Eichler, even if given full evidentiary weight,  
19 does not constitute the type of juror misconduct which may potentially  
20 warrant a new trial because, at best, the affidavit constitutes proof of  
21 "utterances of jurors during the deliberations or at any other material  
22 time [which] cannot warrant the impeachment of a verdict." Carson v.  
23 Brauer, 234 Or. 333, 345-46, 382 P.2d 79 (1963), Schmitz v. Yant,  
24 242 Or. 308, 409 P.2d 346 (1965);

25 (2) The Oregon Supreme Court has considered and rejected  
26 Plaintiff's argument that juror affidavits describing allegedly improper

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21 <sup>2</sup> People v. Morgan, 84 Cal.App.4th 929, 101 Cal.Rptr.2d 314 (2000) and U.S. v.  
22 Gorham, 523 F.2d 1088 (DC Cir 1975) cited by Plaintiff involve jurors who refused to deliberate  
23 and jury nullification issues. Rehearing was granted in Morgan and the decision was vacated.  
24 The case may not currently be cited or relied on as proper authority. There is no suggestion that  
25 any juror in the present case refused to deliberate or follow legal instructions provided by the  
26 court. Mr. Eichler did not participate in post trial jury deliberations according to his declaration.  
Under Oregon law Mr. Eichler's affidavit is inadmissible to prove oral exchanges of jurors, or the  
mental processes of jurors in reaching a verdict. Ertsgaard v. Beard, 310 Or. 486, 497, 800 P.2d  
759 (1990), State v. Gardner, 230 Or. 569, 575, 371 P.2d 558 (1962), Carson v. Brauer, 234 Or.  
333, 382 P.2d 79 (1963)

1 discussions among jurors occurring outside the context of formal  
2 deliberations may be used to impeach a verdict. Carson v. Brauer,  
3 234 Or. 333, 345-46, 382 P.2d 79 (1963), Schmitz v. Yant, 242 Or.  
4 308, 409 P.2d 346 (1965);

5 (3) The Oregon Supreme Court has considered and rejected  
6 Plaintiff's argument that juror affidavits describing allegedly improper  
7 discussions among jurors in violation of a court order not to discuss  
8 the case constitutes the type of contemptuous conduct that may be  
9 used to impeach a verdict. Schmitz v. Yant, 242 Or. 308, 315 - 316,  
10 409 P.2d 346 (1965); Carson v. Brauer, 234 Or. 333, 345-46, 382  
11 P.2d 79 (1963);

12 (4) The affidavit of Mr. Eichler, even if given full evidentiary weight,  
13 does not constitute the type of juror misconduct which may potentially  
14 warrant a new trial because it fails to satisfy the "threshold level" of  
15 juror misconduct required under Oregon law before a trial court can  
16 even properly consider exercising its discretion to order a new trial  
17 See, for example, Ertsgaard v. Beard, 310 Or. 486, 497, 800 P.2d 759  
18 (1990), State v. Miller, 167 Or.App. 72, 75-76, 1 P.3d 1047 (2000);

19 (5) The affidavit of Mr. Eichler, even if given full evidentiary weight,  
20 is inadmissible to impeach the verdict of the jury or to support any  
21 inquiry of any juror to prove oral exchanges used by jurors, or the  
22 mental processes of jurors in reaching a verdict. Ertsgaard v. Beard,  
23 310 Or. 486, 497, 800 P.2d 759 (1990), State v. Gardner, 230 Or.  
24 569, 575, 371 P.2d 558 (1962);\* Carson v. Brauer, 234 Or. 333, 382  
25 P.2d 79 (1963);

26 (6) The affidavit of Mr. Eichler fails to suggest, much less establish,  
prejudice to Plaintiff resulting from the alleged juror misconduct as  
required by applicable Oregon law. Ertsgaard v. Beard, 310 Or. 486,  
491, 800 P.2d 759 (1990), Carson v. Brauer, 234 Or. 333, 342, 382  
P.2d 79 (1963); and

(7) The affidavit of Mr. Eichler, fails to set forth the manner in which  
or when he became aware of the facts, if any, which support the  
conclusory averments in his affidavit. The affidavit does not set forth  
a single date, time, witness or improper statement allegedly made by  
any juror. Hence, it is impossible to determine the underlying facts  
upon which Mr. Eichler bases his conclusions and the extent to which,  
if at all, Mr. Eichler has personal knowledge regarding any of the  
hearsay matters alluded to in his affidavit. Consequently, the hearsay  
affidavit completely lacks foundation, is improper lay opinion and is  
inadmissible for any purpose.

B. JUROR MISCONDUCT IN THE FORM OF STATEMENTS OF JURORS DURING  
DELIBERATIONS OR AT OTHER MATERIAL TIMES, EVEN IF MADE IN  
VIOLATION OF AN ORDER OF THE COURT NOT TO DISCUSS THE CASE.

1        CANNOT WARRANT THE IMPEACHMENT OF A JURY VERDICT

2        Plaintiff's motion cites the decision of Oregon Supreme Court in Carson v. Brauer,  
3        234 Or. 333, 345-46, 382 P.2d 79 (1963) for the proposition: "It is clear that a new trial will  
4        not be granted based upon juror affidavits concerning their own mental processes or those  
5        of other (sic) juror during proper deliberations." (Plaintiff's motion at page 3, lines 13 - 17)  
6        The Oregon Supreme Court, at the very pages cited by Plaintiff, makes clear the rule  
7        prohibiting the use of juror affidavits to impeach a verdict is not limited to allegedly  
8        improper juror discussions occurring during "proper deliberations," stating:

9                                ...The affidavit of a juror concerning utterances of other jurors  
10                              during the deliberations **or at any other material time** cannot  
11                              warrant the impeachment of a verdict. The kind of misconduct  
12                              of a juror that will be considered in an attack upon a verdict by  
13                              a juror's affidavit within the rule set forth in the Gardner and  
14                              Imlah cases **is misconduct that amounts to fraud, bribery,**  
15                              **forcible coercion or any other obstruction of justice that**  
16                              **would subject the offender to a criminal prosecution**  
17                              **therefor.** We do not necessarily use the words 'fraud,'  
18                              'bribery,' 'forcible coercion,' and 'obstruction of justice' in a  
19                              purely technical sense, but as words that denote such serious  
20                              breach of the juror's duties that the trial judge would be  
21                              justified in citing him for nothing less than a contempt of  
22                              court.... **Except for the kind of criminal misconduct which**  
23                              **we described, the risk of extraneous and improper**  
24                              **conversation that may or may not find its way into a jury's**  
25                              **deliberation is simply a risk that litigants assume when**  
26                              **they submit their disputes for determination by the jury**  
                                 system. (Emphasis added) Id. at 345 - 346.

20        In Schmitz v. Yant, 242 Or. 308, 409 P.2d 346 (1965) the Oregon Supreme Court  
21        applied Oregon's well established rule discussed at length in, *inter alia*, Carson v. Brauer,  
22        *supra*,<sup>3</sup> that juror affidavits will not be received in evidence to impeach their verdict.

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23        <sup>3</sup> Oregon appellate courts have long history of vigilantly protecting jury verdicts from  
24        attack and excluding the use of juror affidavits to impeach verdicts except in extremely limited  
25        circumstances involving juror misconduct which amounts to fraud, bribery, forcible coercion or  
26        any other obstruction of justice that would subject the offender to a criminal prosecution. See, for  
      example, Cline v. Broy, 1 Or. 89 (1854), in which Chief Justice Williams, in rejecting a juror's  
      affidavit, said: "Affidavits of jurors will not be received to impeach their verdict."

1 Significantly, the Supreme Court in Schmitz specifically considered and rejected Plaintiff's  
2 arguments that: 1) a juror's failure to abide by a trial court order not to discuss the case  
3 with other jurors is the type of jury misconduct that can be used to impeach a verdict, and  
4 2) juror discussions outside formal deliberations may be used to impeach a verdict. In  
5 Schmitz improper comments were made by a prospective juror to jurors ultimately selected  
6 to deliberate in the case during a recess in the *voir dire* questioning in violation of a court  
7 order not to discuss the case. The offending juror told other jurors of his own serious injury  
8 and stated that he did not see how there could be any loss of love and affection, no matter  
9 how serious the injury, if a man and his wife loved each other. The trial court granted  
10 Plaintiff a new trial based on a juror affidavit recounting the misconduct. The Supreme  
11 Court reversed stating, in pertinent part:

12  
13 The misconduct set forth in the affidavit of the juror is not the kind of  
14 misconduct by another juror which will impeach the jury's verdict. It  
15 is argued by plaintiff that the prospective juror could have been  
16 cited for contempt because of his disregard of the court's  
17 instruction not to discuss the case. This is not the type of  
18 contemptuous conduct which is contemplated in Carson. It is  
19 not contemptuous conduct in connection with activities of such  
20 a serious nature as to be classed with " \* \* \* fraud, bribery,  
21 forcible coercion or any other obstruction of justice that would  
22 subject the offender to a criminal prosecution therefor.'

18 It is also claimed that the facts of Carson do not make the  
19 decision applicable. There the statements, which were of a  
20 nature and tenor similar to those in the present case, were made  
21 by jurors during deliberation. In the present case they were  
22 made by a prospective juror who did not subsequently sit on the  
23 case and were not made during deliberation. We do not believe  
24 the factual distinctions justify non-application of the rule laid  
25 down in Carson. The basic philosophy behind Carson is equally  
26 applicable here. (Emphasis added.) Id. at 315 - 316.

23 C. THE JUROR MISCONDUCT ALLEGED BY PLAINTIFF DOES NOT SATISFY THE  
24 "THRESHOLD LEVEL" OF JUROR MISCONDUCT REQUIRED UNDER OREGON  
25 LAW FOR A TRIAL COURT TO EVEN CONSIDER ORDERING A NEW TRIAL

26 A trial court has the discretion to grant a motion for a new trial based on juror

1 misconduct in very limited circumstances for "[o]nly the clearest kinds of juror misconduct"  
2 Ertsgaard v. Beard, 310 Or. 486, 497, 800 P.2d 759 (1990). Absent an evidentiary  
3 threshold showing by the party seeking a new trial, an Oregon trial court is without  
4 discretion to order a new trial based upon claimed juror misconduct. See, for example,  
5 State v. Miller, 167 Or.App. 72, 75-76, 1 P.3d 1047 (2000).

6 In Ertsgaard v. Beard, *supra*, the Oregon Supreme Court affirmed the reversal of  
7 a trial court order granting a new trial despite finding that the conduct of the juror was  
8 "inappropriate" and "reprehensible." In Ertsgaard a juror testified on *voir dire* that she had  
9 been a patient of the defendant physician for a short time without mentioning in response  
10 to general *voir dire* questioning her belief that the defendant had saved the juror's niece's  
11 life by diagnosing the niece's cancer. During deliberations, the juror exhibited a bias in  
12 favor of the defendant by mentioning the diagnosis of the niece and arguing that a finding  
13 of negligence would ruin the defendant's reputation. After considering this evidence, the  
14 trial court granted a motion for a new trial which the Court of Appeals reversed [Ertsgaard  
15 v. Beard, 97 Or.App. 471, 777 P.2d 971 (1989).] The Supreme Court affirmed the decision  
16 of the Court of Appeals in Ertsgaard v. Beard, 310 Or. 486, 497, 800 P.2d 759 (1990),  
17 holding, *inter alia*, that the juror's alleged bias and reference to the prejudicial effect an  
18 adverse verdict would have on the defendant's reputation were not even colorably  
19 sufficient to justify a new trial. The Court noted that the posture that a juror takes during  
20 deliberations can always be attacked as bias, and that speculation among the jurors about  
21 the effect of a verdict, while generally inappropriate, are so commonplace that they cannot  
22 support a decision to grant a new trial, stating:

23  
24 In the relatively few cases in which this court has either  
25 permitted or required a new trial for juror misconduct that  
occurred during the deliberating process, we have found none

1 in which the misconduct consisted solely of juror argument.  
2 **All the cases have involved specific acts by jurors**  
3 **designed (and later claimed, either explicitly or implicitly)**  
4 **by the particular offending jurors to give them special**  
5 **knowledge concerning one of the disputed facts in the**  
6 **case then under consideration. See, e.g., Saunders v. Curry**  
7 **County, 253 Or. 578, 456 P.2d 493 (1969) (unauthorized**  
8 **inspection of premises); Wolfe v. Union Pacific R. Co., *supra***  
9 **(unauthorized visit to accident scene); Thomas v. Dad's Root**  
10 **Beer, Etc., 225 Or. 166, 356 P.2d 418, 357 P.2d 418 (1960)**  
11 **(view of accident scene and unauthorized experiment); Eckel**  
12 **v. Breeze, 221 Or. 572, 577, 352 P.2d 460 (1960) (view of**  
13 **scene); Schneider v. Moe, 151 Or. 353, 50 P.2d 577 (1935)**  
14 **(view of accident scene). [The juror's] actions were different.**  
15 She did not obtain new information relating to [defendant's]  
16 care for the plaintiff child. She simply disclosed the basis of  
17 her pre-existing bias. That is argument, not superior  
18 knowledge of a pivotal fact concerning some issue in the case  
19 actually being decided by the jury.<sup>4</sup> *Id.* at 497 -498.

20 Oregon's appellate courts have scrupulously protected jury verdicts from post trial  
21 attacks in the form of motions for new trial predicated on alleged juror misconduct. In State  
22 v. Miller, 167 Or.App. 72, 77, 1 P.3d 1047 (2000), for example, the court after carefully  
23 reviewing the relevant Oregon authorities, concluded that there was no basis to grant a  
24 new trial notwithstanding evidence that the juror in question: 1) "speculated more than a  
25 juror should;" 2) "certainly reached the wrong conclusion about gang tattoos;" and 3) "[i]n  
26 telling the jury that defendant had violated the terms of his release shortly after leaving  
prison... gave [the jury] information that the Evidence Code would not have allowed the  
parties to present and that it should not have had."

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<sup>4</sup> As noted by the court in State v. Miller, 167 Or.App. 72, 77, 1 P.3d 1047 (2000), "[t]he cases that the court cited [in Ertsgaard] all involved unauthorized visits to a relevant location or conducting unauthorized experiments. The juror's actions in Ertsgaard were different: she did not provide new information relating to the defendant's actions but simply disclosed the basis of her pre-existing bias. That was argument, not superior knowledge of a pivotal fact."

In the case at bar, the alleged juror misconduct relied on by Plaintiff did not provide any juror with superior knowledge of any pivotal fact the jury was required to consider in rendering its verdict. To the contrary, the sole allegation in the moving papers involves allegedly premature discussions of evidence properly admitted at trial and the styles of trial counsel

1 Significantly, the court in Miller noted:

2  
3 There is a strong policy in Oregon to protect jury verdicts from attack.  
4 **Only limited kinds of juror misconduct justify a new trial.** The kind  
5 of misconduct that will be considered in an attack on a verdict is  
6 **misconduct that is extrinsic to the communications between jurors**  
7 **during the deliberative process or that amounts to fraud, bribery,**  
8 **forcible coercion or any other obstruction of justice that would**  
9 **subject the offender to contempt of court or criminal prosecution...**  
10 Our system of justice is not a perfect system, because it is administered  
11 by imperfect human beings" and concluded that, in the absence of  
12 compelling reasons that are extrinsic to the deliberation process, the  
13 law has chosen to shelter jurors from examination about their  
14 deliberations. State v. Jones, 126 Or.App. 224, 227 - 228, 868 P.2d  
15 18, rev den 318 Or. 583, 873 P.2d 322 (1994).

16 In this case [the juror] used her experience in the corrections system to  
17 evaluate defendant's style of dress during the trial and to understand  
18 the evidence that the parties presented. In doing so she may have at  
19 times speculated more than a juror should; she certainly reached the  
20 wrong conclusion about gang tattoos. In telling the jury that defendant  
21 had violated the terms of his release shortly after leaving prison, she  
22 gave it information that the Evidence Code would not have allowed the  
23 parties to present and that it should not have had. However, the facts  
24 that led her to that conclusion were all in evidence; she only described  
25 their legal effect. **In short, [the juror] based all of her statements on**  
26 **what the jury experienced in the courtroom, using her previous**  
**experience and knowledge to interpret them. In that respect, her**  
**actions were less questionable than those of the juror in**  
**Ertsgaard, who brought in extraneous facts that were entirely**  
**irrelevant to the issue that the jury had to consider.** Nothing that  
[the juror] said during the deliberations could support a decision to  
grant defendant a new trial. (Emphasis added) Id. at 77 - 78.

19 In the case at bar the court is presented with an affidavit of an alternate juror  
20 suggesting that the jurors may have prematurely discussed certain aspects of the  
21 evidence properly admitted during the course of trial and the styles of the various trial  
22 counsel for the respective parties. Such conduct is manifestly not "extrinsic to the  
23 communications between jurors" and not the type of contemptuous conduct which would  
24 warrant a new trial or further inquiry of the jurors who did deliberate under the applicable  
25 case law. See, for example, State v. Miller, 167 Or.App. 72, 77, 1 P.3d 1047 (2000),



1 State v. Jones, 126 Or.App. 224, 227 - 228, 868 P.2d 18, rev den 318 Or. 583, 873 P.2d  
2 322 (1994), Carson v. Brauer, 234 Or. 333, 345-46, 382 P.2d 79 (1963), Schmitz v.  
3 Yant, 242 Or. 308, 409 P.2d 346 (1965). Consequently, the instant motion must be  
4 denied.

5  
6 D. PLAINTIFF'S MOTION FAILS TO ESTABLISH PREJUDICE FROM THE ALLEGED  
7 JUROR MISCONDUCT REQUIRED UNDER OREGON LAW FOR A TRIAL COURT  
8 TO EVEN CONSIDER EXERCISING ITS DISCRETION TO ORDER A NEW TRIAL

9 There is a total absence of proof that the plaintiff was in any way prejudiced by the  
10 juror misconduct alleged in Plaintiff's moving papers. See, Ertsgaard v. Beard, 310 Or.  
11 486, 491, 800 P.2d 759 (1990), Carson v. Brauer, 234 Or. 333, 342, 382 P.2d 79 (1963)  
12 Hence, Plaintiff's motion must be denied.

13 E. THE AFFIDAVIT RELIED ON BY PLAINTIFF IS NOT ADMISSIBLE TO PROVE  
14 ORAL EXCHANGES USED BY JURORS DURING DELIBERATIONS, OR MENTAL  
15 PROCESSES OF THE JURORS IN REACHING A VERDICT

16 It is well settled in Oregon that juror affidavits such as Mr. Eichler's are not  
17 admissible to prove oral exchanges used by jurors during deliberations, or mental  
18 processes of the jurors in reaching a verdict. Ertsgaard v. Beard, 310 Or. 486, 497, 800  
19 P.2d 759 (1990), State v. Gardner, 230 Or. 569, 575, 371 P.2d 558 (1962), Carson v.  
20 Brauer, 234 Or. 333, 382 P.2d 79 (1963). The Oregon Supreme Court stated in  
21 Ertsgaard:

22 We think that receiving affidavits to the effect of those produced  
23 in this case was appropriate. It is true that the affidavits could  
24 be construed, at least in part, as relating to the oral exchanges  
25 used by certain jurors in an attempt to persuade others, or to the  
26 mental processes used by jurors in reaching a verdict. To the  
extent they had this effect, their substance should have been  
ignored. *Id.* at 496.

1 F. THE SOLE EVIDENTIARY AFFIDAVIT RELIED ON BY PLAINTIFF IS NOT  
2 ADMISSIBLE FOR ANY PURPOSE

3 As is more fully set forth in the Objection of United States Mineral Products  
4 Company To Affidavit of Elden Eichler concurrently filed with this opposition, Mr.  
5 Eichler's affidavit fails to set forth the manner in which or when Mr. Eichler became  
6 aware of the facts, if any, which support the conclusory averments in his affidavit alleging  
7 juror misconduct. The affidavit itself does not identify a single date, time, witness or  
8 improper statement allegedly made by any juror. It is impossible to determine by  
9 referencing the affidavit the underlying facts upon which Mr. Eichler bases his  
10 conclusions and/or the extent to which, if at all, Mr. Eichler has personal knowledge  
11 regarding any of the underlying hearsay matters alluded to in his affidavit.  
12 Consequently, the hearsay affidavit completely lacks foundation, constitutes improper  
13 lay opinion and is inadmissible for any purpose. In so far as Mr. Eichler's affidavit is the  
14 sole evidence proffered by Plaintiff in support of the motion for new trial, the motion must  
15 be denied.

16 ////

17 ////

18 ////

19 ////

20 ////

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22 ////

23 ////

24 ////

25 ////

[illegible]

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CERTIFICATE OF SERVICE

I hereby certify that I served the foregoing MEMORANDUM OF UNITED STATES MINERAL PRODUCTS COMPANY IN OPPOSITION TO PLAINTIFF'S MOTION FOR NEW TRIAL *and* OBJECTION OF UNITED STATES MINERAL PRODUCTS COMPANY TO AFFIDAVIT OF ELDON EICHLER on:

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Of Attorneys for Plaintiff

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Dryer

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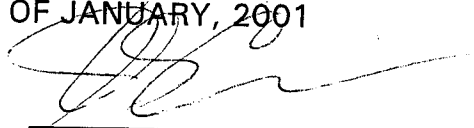
Forrest Ren Wilkes  
FORMAN PERRY WATKINS, ET AL.,  
188 East Capitol Street, Suite 1200  
Jackson, MS 39201  
Of Attorneys for Defendant Asten  
Group

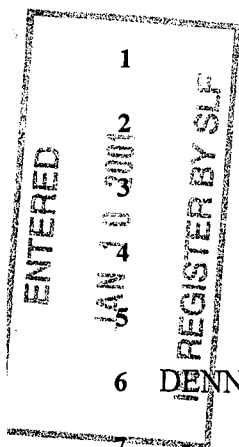
1 by the following indicated method:

2 by **FAXING** a full, true and correct copy thereof to James S. Coon and Elaine J.  
3 Brown at the fax numbers shown above, which are the last-known numbers for  
the attorneys' offices, the receiving fax machines operating at the time of  
service, on the date set forth below; and

4 by **MAILING** full, true, and correct copies thereof in sealed, first-class, postage-  
5 prepaid envelopes to the other attorneys as shown above, to the last-known  
office addresses of the attorneys, and deposited with the U.S. Postal Service  
6 at Beaverton, Oregon, on the date set forth below.

7 DATED this FIFTH DAY OF JANUARY, 2001

8   
9 \_\_\_\_\_  
James D. Case, OSB No. 73058  
Of Attorneys for Defendant  
10 United States Mineral Products Company  
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IN THE CIRCUIT COURT OF THE STATE OF OREGON  
FOR THE COUNTY OF MULTNOMAH

RECEIVED  
CIRCUIT COURT

6 DENNIS EMRICK,

Plaintiff,

v.

9 A.J. ZINDA CO., an Oregon corporation, et al.,

Defendants.

No. 0002-02019

DEFENDANT ACANDS, INC.'S  
RESPONSE TO PLAINTIFF'S MOTION  
FOR NEW TRIAL

11 I. INTRODUCTION.

12 Defendant ACandS, Inc. ("ACandS") joins in the responses filed by the other defendants  
13 regarding the first basis for plaintiff's motion – alleged juror misconduct.<sup>1</sup> ACandS submits this  
14 response to the second basis for plaintiff's motion – that the evidence was "uncontradicted" that  
15 Limpet was defectively dangerous and, thus, plaintiff is entitled to a new trial as to ACandS. As  
16 discussed below, plaintiff's motion on that basis should be denied, on both procedural and  
17 substantive grounds.

18 II. ARGUMENT.

19 A. **Plaintiff's Failure to Move for a Directed Verdict on the Issue of the**  
20 **Sufficiency of the Evidence Regarding Limpet Precludes Him from Now**  
**Moving for a New Trial on that Basis.**

21 Plaintiff brings his motion for new trial pursuant to ORCP 64 B(5), which challenges the  
22 sufficiency of evidence to support the jury's verdict. He argues that based on the evidence  
23 presented, a reasonable jury could not have concluded that Limpet was not a dangerously defective

24 \_\_\_\_\_

25 <sup>1</sup> ACandS submits that (1) the Affidavit of Elden Eichler was untimely, and cannot  
26 be considered; (2) plaintiff cannot use a juror affidavit to impeach the jury's verdict; (3) there  
was no juror misconduct; (4) any irregular juror conduct does not warrant a new trial; and (5)  
there is no evidence that any preliminary juror deliberations caused prejudice to plaintiff.

1 product. Although there was ample evidence to support the jury's conclusion, this Court need not  
2 reach that question, because plaintiff did not move for a directed verdict on that question.

3 As a matter of law, a "timely motion for directed verdict is a 'necessary predicate' to a  
4 subsequent motion testing the sufficiency of the evidence." Edward D. Jones & Co. v. Mishler,  
5 161 Or App 544, 565, 983 P2d 1086 (1999) (discussing affirmance of principle in Arena v.  
6 Gingrich, 305 Or 1, 7-8, n 1, 748 P2d 547 (1988). In Jones, a case involving a dispute as to who  
7 should bear the loss regarding certain checks returned for insufficient funds, plaintiff moved for a  
8 new trial on the grounds that there was no evidence that the defendant had been harmed by  
9 plaintiff's failure to give timely notice of dishonor, and thus defendant could not prevail on its  
10 counterclaim. Plaintiff, however, had never moved to dismiss the counterclaim at trial. On appeal  
11 plaintiff argued that such a motion was not necessary. The Court of Appeals specifically rejected  
12 this argument, quoting the Arena case for the holding that "a motion for a directed verdict has long  
13 been a prerequisite for an appeal assigning lack of evidence, with or without a [subsequent] motion  
14 for a new trial." 161 Or App at 565.

15 There is no dispute that plaintiff did not move for a directed verdict on the sufficiency of  
16 the evidence regarding Limpet. That failure precludes plaintiff from now moving for a new trial on  
17 that basis. Plaintiff's motion should be denied on this basis alone.

18 **B. The Jury May Have Resolved Predicate Issues in ACandS' Favor.**

19 Plaintiff's motion ignores the instructions given by the Court, because it suggests that there  
20 were no issues for the jury to reach other than whether Limpet was a defective product. In fact, the  
21 jury had to resolve a number of other issues. There is no reason to believe the jury did not resolve  
22 any or all of those issues in ACandS' favor.

23 / / /

24 / / /

25 / / /

26 / / /

1 As a bedrock matter, when a general verdict<sup>2</sup> is entered, it "establishes every reasonable  
2 inference deductible from the pleadings and responsive to the issues." Clark v. Strain, 212 Or 357,  
3 364, 319 P2d 940 (1958). In Saum v. Bonar, 258 Or 532, 543, 484 P2d 294 (1971), Justice  
4 Holman (in concurrence) applied the principle as meaning that a jury made all the reasonable  
5 findings necessary to support the verdict. In the present case, the verdict in favor of ACandS must  
6 be construed as meaning that the jury concluded that (1) ACandS was not in the business of  
7 manufacturing or selling, (2) Limpet was not unreasonably dangerous, and (3) Limpet was not  
8 defective in design or in the inclusion of adequate warnings or instructions.

9 **1. The Jury May Have Concluded That ACandS Was Not in the Business**  
10 **of Selling and Thus Would Not Have Reached the Issue as to Whether**  
11 **Limpet Was a Defective Product.**

11 In order for the jury to have even reached the question of a defective product, the jury  
12 necessarily had to first find that ACandS was in the "business of selling." As the Court will recall,  
13 The Court's instruction provided in part:

14 I will now instruct you on the law of strict liability for a defective  
15 product.

16 A defendant is liable for harm caused by a product if:

17 (1) The defendant was engaged in the business of manufacturing  
18 or selling the product;

18 ...

19 A copy of this instruction is attached as Exhibit A to the Affidavit of Howard (Terry) Hall  
20 in Support of Defendant ACandS, Inc.'s Response to Plaintiff's Motion for a New Trial ("Hall  
21 Aff.") which accompanies this Response. Thus, a predicate to finding that plaintiff even had a  
22

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23 <sup>2</sup> A "general verdict" is any verdict where the jury's verdict incorporates the jury's  
24 findings of fact with its application of those facts to the law, as instructed. ORCP 61 A. It is  
25 distinguished from a "special verdict," where the jury makes only findings of fact. ORCP 61 B.  
26 In the present case, the jury's verdict was a general verdict with special interrogatories, ORCP  
61 C, which should nonetheless be treated as a general verdict for purposes of construing all  
reasonable inferences in favor of ACandS.



1 product liability claim against ACandS was that the jury concluded that the plaintiff had met his  
2 burden on showing that ACandS was in the "business of selling" asbestos-containing products.

3 As the Court will also recall, counsel for ACandS was allowed to argue in closing and in  
4 fact did argue that ACandS was *not* in the "business of selling" asbestos-containing products. See  
5 November 20, 2000 Transcript, Vol. 47-A, pp. 105-106; attached as Ex. B, Hall Aff. The jury may  
6 well have concluded that plaintiff had not met his burden on this issue. If the jury so concluded, it  
7 would have necessarily answered "No" to the first question on the jury verdict form for the product  
8 liability claim. The jury would then have had no reason to even consider the issue as to whether  
9 Limpet was a defective product.

10  
11 **2. The Jury May Have Concluded that Limpet Was Not Unreasonably  
Dangerous.**

12 The Court gave the following instruction on "defective condition":

13 By defective condition, it is meant that at the same time the  
14 product left the hands of the manufacturer or seller, it was in a  
condition that was not contemplated by the ultimate user and was  
unreasonably dangerous to the ultimate user.

15 A product may be in a defective condition in the following ways:

- 16 1) By design of the product itself; or  
17 2) By the absence of adequate warnings or instructions.

18 Court's Instructions, p. 28; attached as Ex. C, Hall Aff.

19 The Court also instructed on the meaning of "unreasonably dangerous":

20 A product is unreasonably dangerous when it is dangerous to an  
21 extent beyond that which would be contemplated by the ordinary  
consumer who purchases the product with the ordinary knowledge  
22 common to the community as to its characteristics.

23 Court's Instructions, p. 29; attached as Ex. D, Hall Aff.

24 Thus, the jury had to find that a product was unreasonably dangerous as that term is defined  
25 in the instructions in order to find that a product was defective. Again, there is no reason to believe  
26 the did not resolve this issue in ACandS' favor.

1                   **3.     The Jury May Have Concluded That Limpet Was Not Defective in**  
2                   **Either of the Ways Set Forth in the Instructions.**

3           In addition to finding the product unreasonably dangerous, the jury could only find for  
4 plaintiff if it concluded that plaintiff had met his burden of proof that a product was defective in  
5 one of two ways. Court's Instructions, p. 28; attached as Ex. C, Hall Aff. The jury may have  
6 concluded that the plaintiff had not met his burden as to either the design prong or the warning  
7 prong.

8                   **C.     There Was Substantial Evidence Allowing the Jury to Find that Limpet Was**  
9                   **Not a Defective Product.**

10          In arguing that the evidence was "uncontradicted" as to Limpet, plaintiff is, to be charitable,  
11 selective in the evidence he cites. *See* Plaintiff's Motion, pp. 4-5. Based on the Court having  
12 presided over this trial for 11 weeks, the Court will recall the following evidence that was  
13 presented:

- 14           ■     None of plaintiffs' experts had tested Limpet at any of plaintiff's worksites  
15                 (October 4, 2000 Trial Transcript, Vol. 18-A, pp.75-80; Ex. E; Hall Aff.;  
                September 29, 2000, Vol. 15-A, p. 43-44, Ex. F, Hall Aff.)
- 16           ■     Plaintiffs' experts testified that if Limpet were not disturbed, there would be no  
17                 release of asbestos (October 4, 2000 Trial Transcript, Vol. 18-A, pp.78-80; Ex. E;  
                Hall Aff.; September 29, 2000, Vol. 15-A, p. 46-48, Ex. F, Hall Aff.)
- 18           ■     Limpet at times was applied with a mastic (September 29, 2000, Vol. 15-A, p. 43-  
19                 44, Ex. F, Hall Aff.; September 29, 2000, Vol. 15-B, pp. 80-83 , Ex. F, Hall Aff.)

20          From this evidence the jury may well have concluded that Limpet, as applied at plaintiff's  
21 work sites, was not defective. Plaintiff no doubt disagrees and has a different view of the evidence.  
22 The fact that plaintiff does not agree with the jury's verdict, however, is not grounds for a new  
23 trial.

24          Plaintiff includes as part of its argument the following paragraph:

25                 The above testimony is entirely uncontradicted in the trial record. In  
26                 summary, it means that two-thirds of defendant's product is a deadly  
                poison that causes an incurable, fatal disease. It means that this

1 deadly poison is quite easily released into the environment when  
2 touched or bumped, to say nothing of when it is intentionally  
3 removed. It means that once released, this deadly poison caused  
4 plaintiff's incurable, fatal disease. This is not a matter of  
5 interpretation; it is simply the uncontradicted evidence.  
6 Contemplating this evidence, no reasonable jury could find that  
7 Limpet is not dangerously defective. Nevertheless, against all the  
8 evidence, that is what this jury found.

9 Plaintiff's Motion, p. 5.

10 ACandS is hard pressed to understand how plaintiff's counsel can make such an argument  
11 with a straight face. The Court will recall that all of these issues were hotly disputed and the  
12 subject of extensive testimony from multiple witnesses. Again, plaintiff may not like the jury's  
13 verdict; that does not entitle him to a new trial.

14 **D. The Jury Was Free to Disbelieve Plaintiff's Version of the Facts.**

15 Plaintiff cites Thomas v. Inman, 282 Or 279, 286-87, 578 P2d 399 (1978) and Rickard v.  
16 Ellis, 230 Or 46, 368 P2d 396 (1962) for the proposition that a jury is not free to disregard  
17 "overwhelming, uncontradicted evidence." Plaintiff's Motion, p. 6. As noted above, the evidence  
18 as to Limpet was not uncontradicted. Plaintiff's reading of these cases, when applied to the facts  
19 of this case, would deprive the jury of any ability to disregard testimony. Neither Thomas nor  
20 Rickard can be construed to take away the jury's right to disbelieve witnesses.

21 Plaintiff's quotation from Rickard includes the following statement:

22 Where men of reason and fairness may entertain differing views as to  
23 the truth of testimony, whether it be uncontradicted, uncontroverted  
24 or even undisputed, evidence of such a character is for the jury.

25 230 Or at 51, *quoting* Ferdinand v. Agricultural Insurance Co., 22 NJ 482, 126 A2d 323, 62 ALR  
26 2d 1179 (1956). In essence, plaintiff argues that no reasonable jury, given the evidence presented  
during an 11 week trial, could view the evidence in any way other than the way plaintiff does.

Neither Thomas nor Rickard supports such a position.

The jury was free to accept or reject some or all of the testimony of any of the witnesses.

As discussed above, the jury could have resolved the products liability claim as to ACandS in any

1 of a number of different ways. The jury's verdict is consistent with the instructions and the  
2 evidence and should not be upset.

3 **III. CONCLUSION.**

4 Throughout the trial, counsel and the Court observed on numerous occasions the  
5 conscientiousness of the jurors. After 11 weeks of testimony, the jury deliberated and returned its  
6 verdict. Plaintiff is of course disappointed in that verdict and no doubt disagrees with it. However,  
7 the jury's verdict is supported by the evidence and consistent with the Court's instructions.  
8 Plaintiff is not entitled to a new trial against ACandS, and plaintiff's motion should be denied.

9 DATED this 5th day of January, 2001.

10 McEWEN, GISVOLD, RANKIN, CARTER &  
11 STREINZ, LLP  
and WOLFSTONE, PANCHOT & BLOCH, P.S.

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CERTIFICATE OF SERVICE

I hereby certify that I served the foregoing **RESPONSE OF DEFENDANT ACANDS, INC. TO PLAINTIFF'S MOTION FOR NEW TRIAL AND AFFIDAVIT OF HOWARD (TERRY) HALL IN SUPPORT THEREOF** on the following attorneys for the parties on January 5, 2001, by facsimile (where indicated) and by mailing to each of them a true copy thereof, postage prepaid, addressed to them at the addresses set forth below their names:

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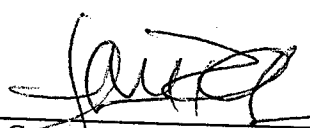
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